

# INL (instantial neighborhood logic) - tableau, sequent calculus, interpolation

Junhua Yu  
( junhua.yu.5036@outlook.com )

Tsinghua University

2019.03.08 @ FuDan University

## Outline

- Instantial neighborhood logic INL
- Semantic tableau system TABinl
- Sequent calculus G3inl
- Lyndon interpolation theorem
- Future directions

Abbreviation: “**nb**d” means “neighborhood”

# Instantial neighborhood logic INL

- Frame:  $\mathfrak{F} = (W, \sigma)$ 
  - $W \neq \emptyset$ , a domain;
  - $\sigma : W \mapsto 2^{2^W}$ , a **nbd function**.
- Possible properties of nbd functions
  - nbd's are non-empty,
  - nbd's of  $w$  always contain  $w$ ,
  - each point has exactly 1 nbd
    - degenerates to relational semantics,
  - closure properties...

None of above assumed for the current work.

- Model:  $\mathfrak{M} = (\mathfrak{F}, V)$ 
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- Truth definition - a  $\exists\forall$  reading of  $\Box$ :
  - $\mathfrak{M}, w \vDash \Box\alpha$   
iff  
 $(\exists N \in \sigma(w))(\forall n \in N) \mathfrak{M}, n \vDash \alpha$ .
  - a nbd (of the current point) has  $\alpha$  true everywhere inside.
  - B.t.w., alternative definitions exist.
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$$\Box(\alpha_1, \dots, \alpha_j; \alpha_0)$$

- Subformulas  $\alpha_1, \dots, \alpha_j$  are called **instances**.
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$$\frac{\neg\neg\phi}{\phi} \quad \frac{\alpha \wedge \beta}{\alpha \quad \beta} \quad \frac{\neg(\alpha \vee \beta)}{\neg\alpha \quad \neg\beta} \quad \frac{\neg(\alpha \rightarrow \beta)}{\alpha \quad \neg\beta} \quad \frac{\neg(\alpha \wedge \beta)}{||\neg\alpha || \quad \neg\beta||} \quad \frac{\alpha \vee \beta}{||\alpha || \quad \beta||} \quad \frac{\alpha \rightarrow \beta}{||\neg\alpha || \quad \beta||}$$

- Still missing for INL are rule(s) for nbd operator  $\square$ .

# Semantic tableau system TABinI

- General idea: satisfiability reduction
  - Start with the main goal of satisfying the negation;
  - Reduce goals to subgoals (by rules),  
extend potential model upon request;
  - Impossible goals are “closed”,  
otherwise “open” (hints of models).
- Rules for classical propositional logic

$||\dots||$  means branching

$$\frac{\neg\neg\phi}{\phi} \quad \frac{\alpha \wedge \beta}{\alpha \quad \beta} \quad \frac{\neg(\alpha \vee \beta)}{\neg\alpha \quad \neg\beta} \quad \frac{\neg(\alpha \rightarrow \beta)}{\alpha \quad \neg\beta} \quad \frac{\neg(\alpha \wedge \beta)}{||\neg\alpha || \neg\beta||} \quad \frac{\alpha \vee \beta}{||\alpha || \beta||} \quad \frac{\alpha \rightarrow \beta}{||\neg\alpha || \beta||}$$

- Still missing for INL are rule(s) for nbd operator  $\square$ .

# Semantic tableau system TABinI

- In order to be satisfied:
  - A  $\Box$ -formula requires **a** nbd (of certain type);  
A  $\neg\Box$ -formula refutes **any** nbd (of certain type);  
Other formulas govern locally.
  - $\Box$ -formulas do not work together to close a goal;  
they each does,  
together with **all**  $\neg\Box$ -formulas in the same goal.
- The rule takes from a goal:
  - one  $\Box$ -formula (with  $j$ -many instances), and
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$$\left\| \left| \alpha_0 \wedge \sigma \qquad \left| \sigma \in \{\alpha_x\}_{x=1}^j \right. \right. \right\|$$

- $\Box(\alpha_1, \dots, \alpha_j; \alpha_0)$  requires a nbd with (generally)  $j$  points.  
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either -  $\beta_0^i$  fails at some point,  
or -  $\beta_h^i$  fails at each point for some  $h \in \{1, \dots, j_i\}$ .
- $j_i + 1$  options for each  $i$ , so  $\prod_{z=1}^k (j_z + 1)$  options in total.

Index possible nbd's by the option it takes, e.g.,  $I = \langle I(1), \dots, I(k) \rangle$ .



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- Each of these options raises a possible nbd to satisfy the goal,  
In order to close, **each** option has to be closed.
- Each possible nbd is a collection of points (subgoals),  
To close a nbd, it is **enough to close one** subgoal in it.
- It is **destructive**,  
No formulas (used or not) above the line remain effective below the line.
- It degenerates to special cases where certain parameters are 0.

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# An example

- Check  $\Box(; q) \wedge \Box(p \vee q; \neg\Box(; q)) \rightarrow \Box(p; \top)$  in TABInl.
  - Start with the goal  $\neg(\Box(; q) \wedge \Box(p \vee q; \neg\Box(; q)) \rightarrow \Box(p; \top))$
  - Applying propositional rules, the goal is reduced to:

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- No more propositional rules applicable.



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$$\left\| \left| \alpha_0 \wedge \sigma \wedge \bigwedge_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \neg\beta_{l(i)}^i \mid_{\sigma \in \{\alpha_x\}_{x=1}^j \cup \{\neg\beta_y^y\}_{y \in \{1, \dots, k\}}^{l(y)=0}} \right\| \right\|_{l \in \bigotimes_{z=1}^k \{0, \dots, j_z\}}$$

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$$\begin{aligned} & \Box(; q) \\ & \Box(p \vee q; \neg\Box(; q)) \\ & \neg\Box(p; \top) \end{aligned}$$

- The nbd rule takes exactly 1  $\Box$ -formula, there are 2 phases:  
Phase  $\Box(; q)$  and Phase  $\Box(p \vee q; \neg\Box(; q))$ .

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- Phase  $\Box(; q)$ :
  - $j = 0, k = 1, j_1 = 1,$   
 $l \in \bigotimes_{z=1}^k \{0, \dots, j_z\} = \{\langle 0 \rangle, \langle 1 \rangle\}.$
  - Option  $\langle 0 \rangle$  raises  $\left\| \left| q \wedge \neg\top \right\| \right\|$ , which can be closed;
  - Option  $\langle 1 \rangle$  raises  $\left\| \left| \right\|$  (empty nbd), which is open.
- Therefore, this phase is **open**,  
an empty nbd is constructed.

# An example

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# An example

$$\frac{\begin{array}{l} \Box(\alpha_1; \alpha_0) \\ \neg\Box(\beta_1^1; \beta_0^1) \end{array} \quad \begin{array}{l} \Box(p \vee q; \neg\Box(; q)) \\ \neg\Box(p; \top) \end{array}}{\quad}$$

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- Phase  $\Box(p \vee q; \neg\Box(; q))$ :

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- Option  $\langle 1 \rangle$  raises  $\left\| \left\| \neg\Box(; q) \wedge (p \vee q) \wedge \neg p \mid \right\| \right\|$ . Continuing on this finally results in an open goal:

$$\begin{array}{l} \neg\Box(; q) \\ q \\ \neg p \end{array}$$

- Therefore, this phase is **open**,  
a nbd made up by only a  $q$ -point is constructed.

# An example

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$$\frac{\begin{array}{l} \Box(\alpha_1; \alpha_0) \\ \neg\Box(\beta_1^1; \beta_0^1) \end{array} \quad \begin{array}{l} \Box(p \vee q; \neg\Box(; q)) \\ \neg\Box(p; \top) \end{array}}{\quad}$$

$$\left| \left| \left| \alpha_0 \wedge \sigma \wedge \bigwedge_{l(1) \neq 0} \neg\beta_{l(1)}^1 \mid \sigma \in \{\alpha_1\} \cup \{\neg\beta_0^1\} \mid_{l(1)=0} \right| \right|_{l \in \{\langle 0 \rangle, \langle 1 \rangle\}}$$

- Phase  $\Box(p \vee q; \neg\Box(; q))$ :

- $j = 1, k = 1, j_1 = 1, l \in \bigotimes_{z=1}^k \{0, \dots, j_z\} = \{\langle 0 \rangle, \langle 1 \rangle\}$ .
- Option  $\langle 0 \rangle$  raises  $\left| \left| \neg\Box(; q) \wedge (p \vee q) \mid \neg\Box(; q) \wedge \neg\top \right| \right|$ , which can be closed (continue on the 2nd point);
- Option  $\langle 1 \rangle$  raises  $\left| \left| \neg\Box(; q) \wedge (p \vee q) \wedge \neg p \right| \right|$ .  
Continuing on this finally results in an open goal:

$$\begin{array}{l} \neg\Box(; q) \\ q \\ \neg p \end{array}$$

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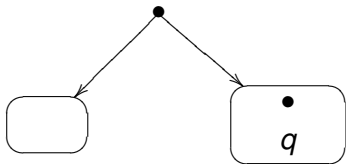
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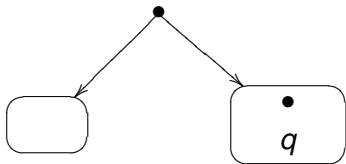
- Check  $\Box(; q) \wedge \Box(p \vee q; \neg\Box(; q)) \rightarrow \Box(p; \top)$  in TABInl.
- Since both phases are left open, we see its invalidity, and a counter-model is constructed:



- Time for another example?

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# Semantic tableau system TABinl

- TABinl is **sound and complete**.
- TABinl offers to INL a mechanical procedure of **proof/counter-model search**.
  - B.t.w., known from [vB etal 2017], INL is PSPACE-complete.
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## Sequent calculus G3inl

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 \Box(\alpha_1, \dots, \alpha_j; \alpha_0) \\
 \neg\Box(\beta_1^1, \dots, \beta_{j_1}^1; \beta_0^1) \\
 \vdots \\
 \neg\Box(\beta_1^k, \dots, \beta_{j_k}^k; \beta_0^k)
 \end{array}$$

$$\left\| \left| \alpha_0 \wedge \sigma \wedge \bigwedge_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \neg \beta_{l(i)}^i \mid_{\sigma \in \{\alpha_x\}_{x=1}^j \cup \{\neg \beta_y^y\}_{y \in \{1, \dots, k\}}^{l(y)=0}} \right. \right\| \left| l \in \bigotimes_{z=1}^k \{0, \dots, j_z\} \right.$$

- Sequent/tableau proofs are usually dual of each other.
  - Sequents  $\leftrightarrow$  branches where closure is tested.
  - (Left/right) sides to “ $\Rightarrow$ ”  $\leftrightarrow$  (positive/negative) signs of formulas.
- In the nbd rule of TABinI:
  - a goal is reduced to groups (instead of a group) of subgoals,
  - it is sufficient to close one subgoal in each group.

$$\begin{array}{c} \Box(\alpha_1, \dots, \alpha_j; \alpha_0) \\ \neg\Box(\beta_1^1, \dots, \beta_{j_1}^1; \beta_0^1) \\ \vdots \\ \neg\Box(\beta_1^k, \dots, \beta_{j_k}^k; \beta_0^k) \end{array}$$

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- The dual is a **hyper**-sequent rule.
  - Hyper-sequents := finite multi-sets of (regular) sequents;
  - like  $|\Gamma_1 \Rightarrow \Delta_1| \dots |\Gamma_n \Rightarrow \Delta_n|$ ,  
 where “|” is read disjunctively.
  - In order to prove a hyper-seq.,  
 it is **sufficient to prove one** of its sequents.

# The hyper-sequent rule

$$\begin{array}{c}
 \Box(\alpha_1, \dots, \alpha_j; \alpha_0) \\
 \neg\Box(\beta_1^1, \dots, \beta_{j_1}^1; \beta_0^1) \\
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$$\left\| \left| \alpha_0 \wedge \sigma \wedge \bigwedge_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \neg\beta_{l(i)}^i \mid_{\sigma \in \{\alpha_x\}_{x=1}^j \cup \{\neg\beta_0^y\}_{y \in \{1, \dots, k\}}}^{l(y)=0} \right. \right\| \left| l \in \bigotimes_{z=1}^k \{0, \dots, j_z\} \right.$$

$$\left[ \begin{array}{c}
 \left| \alpha_0, \alpha_{-x} \Rightarrow \left\{ \beta_{l(i)}^i \right\}_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \mid_{x \in \{-j, \dots, -1\}} \right. \\
 \left| \alpha_0 \Rightarrow \beta_0^y, \left\{ \beta_{l(i)}^i \right\}_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \mid_{y \in \{1, \dots, k\}}^{l(y)=0} \right.
 \end{array} \right] \left| l \in \bigotimes_{i=1}^k \{0, 1, \dots, j_i\} \right.$$

$$\Box(\alpha_1, \dots, \alpha_j; \alpha_0) \Rightarrow \left\{ \Box(\beta_1^i, \dots, \beta_{j_i}^i; \beta_0^i) \right\}_{i=1}^k$$



# The hyper-sequent rule

- For a better appearance, let

$$\left( \begin{array}{ll} J = \{-j, \dots, -1\} & K = \{1, \dots, k\} \\ K^{(l)} = \{y \in K \mid l(y) = 0\} & \Omega_K^l = \{\beta_{l(i)}^i\}_{i \in K}^{l(i) \neq 0} \end{array} \quad D = \bigotimes_{i \in K} \{0, 1, \dots, j_i\} \right)$$

$$\frac{\left[ \begin{array}{l} \left| \alpha_0, \alpha_{-x} \Rightarrow \left\{ \beta_{l(i)}^i \right\}_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \right|_{x \in \{-j, \dots, -1\}} \\ \left| \alpha_0 \Rightarrow \beta_0^y, \left\{ \beta_{l(i)}^i \right\}_{i \in \{1, \dots, k\}}^{l(i) \neq 0} \right|_{y \in \{1, \dots, k\}}^{l(y) = 0} \end{array} \right]}{\Box(\alpha_1, \dots, \alpha_j; \alpha_0) \Rightarrow \{\Box(\beta_1^i, \dots, \beta_{j_i}^i; \beta_0^i)\}_{i=1}^k}$$

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- **Unfold** to regular-sequent rules.
  - not genuine ‘hyper’
    - only 1 seq. in the conclusion (in this only nbd rule).
  - specify for each premise (hyper-sequent) with “name”  $l$ , the index (from  $J \cup K^{(l)}$ ) of the regular seq. that exemplifies its provability.
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# Sequent calculus G3inl

- Start by the nbd hyper-sequent rule.

$$\left( \begin{array}{l} J = \{-j, \dots, -1\} \quad K = \{1, \dots, k\} \\ K^{(l)} = \{y \in K \mid l(y) = 0\} \quad \Omega'_K = \{\beta_{l(i)}^i\}_{i \in K}^{l(i) \neq 0} \quad D = \bigotimes_{i \in K} \{0, 1, \dots, j_i\} \end{array} \right)$$

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- Choice of indexes presented by a function  $f$  on names:

$$\forall l \in D \begin{cases} f(l) = x \in J & \text{if premise } l \text{ is } \vdash \alpha_0, \alpha_{-x} \Rightarrow \Omega'_K, \\ f(l) = y \in K^{(l)} & \text{if premise } l \text{ is } \vdash \alpha_0 \Rightarrow \beta_0^y, \Omega'_K. \end{cases}$$

We write  $f_i$  instead of  $f(l)$  for a better appearance...

- $f : D \mapsto J \cup K$  s.t.

(adequacy)  $(\forall l \in D)(f_l \in K \text{ implies } f_l \in K^{(l)}, \text{ e.g., } l(f_l) = 0)$ .

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# Sequent calculus G3inl

- Group premises by  $f$ -images of their names.

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- In multi-set based G3, **built-in weakening** is necessary.
- This is  $\left( \Box_{\langle j_1, \dots, j_k \rangle}^{j, k, f} \right)$ , nbd rule with parameters  $j, k, j_1, \dots, j_k, f$ .
- It respects the proper **sub-formula property** (no built-in contraction).
- G3inl is G3cp (in the language of INL) extended by **all**  $\left( \Box_{\langle j_1, \dots, j_k \rangle}^{j, k, f} \right)$  where  $f$  is **adequate** w.r.t. its other parameters.

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- In multi-set based G3, **built-in weakening** is necessary.
- This is  $\left( \Box_{\langle j_1, \dots, j_k \rangle}^{j, k, f} \right)$ , nbd rule with parameters  $j, k, j_1, \dots, j_k, f$ .
- It respects the **proper sub-formula property** (no built-in contraction).
- G3inl is G3cp (in the language of INL) extended by **all**  $\left( \Box_{\langle j_1, \dots, j_k \rangle}^{j, k, f} \right)$  where  $f$  is **adequate** w.r.t. its other parameters.

# Sequent calculus G3inl

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## Lyndon interpolation theorem



# Lydon interpolation of INL

- Lydon interpolation theorem:

(Let  $\mathcal{V}^+(\alpha)/\mathcal{V}^-(\alpha)$  denotes positive/negative atoms in  $\alpha$ )

If  $\text{INL} \vdash \phi \rightarrow \psi$ , then there is a formula  $\theta$  s.t.:

- $\mathcal{V}^\pm(\theta) \subseteq \mathcal{V}^\pm(\phi) \cap \mathcal{V}^\pm(\psi)$
- $\text{INL} \vdash \phi \rightarrow \theta$  and  $\text{INL} \vdash \theta \rightarrow \psi$ .

(a ‘polarized’ Craig interpolation)

- A general form on sequents:

If  $\text{G3inl} \vdash \Pi_L, \Pi_R \Rightarrow \Sigma_L, \Sigma_R$ , then there is a formula  $\theta$  s.t.:

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- $\text{G3inl} \vdash \Pi_L \Rightarrow \Sigma_L, \theta$  and  $\text{G3inl} \vdash \theta, \Pi_R \Rightarrow \Sigma_R$ .

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  - Antecedent and succedent of each sequent **split** into two parts (left | right), like  $\Gamma_L | \Gamma_R \Rightarrow \Delta_L | \Delta_R$ .
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- Weakening  $\Pi, \Sigma$  never matter.
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# Lyndon interpolation of INL

- When the only negative principal goes **right**:

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- The desired interpolant for the conclusion is

$$\neg \bigvee_{N \in D_R}^{f_{0^L \circ N} \in L} \Box \left( \left\{ \neg \theta_{M \circ N} \right\}_{M \in D_L}^{f_{M \circ N} \in J \cup R} ; \bigwedge_{M \in D_L}^{f_{M \circ N} \in L} \neg \theta_{M \circ N} \right)$$

- Future directions



- More properties of the nbd function
  - leads to genuine hyper-sequent ?
- Modification on language
  - bound on number of instances
  - infinite language (conjunctions, disjunctions, instances).
- Nabla operator v.s.  $\Box(\alpha_1, \dots, \alpha_j; \alpha_1 \vee \dots \vee \alpha_j)$ .
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  - infinite language (conjunctions, disjunctions, instances).
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- More properties of the nbd function
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- Thanks !