

Set Theory

集合论

杨睿之

yangruizhi@fudan.edu.cn

School of Philosophy, Fudan University

Fall 2015

# Previously on Set Theory

## Cofinality

- A weakly compact cardinal is Mahlo, and the set of Mahlo cardinals below is stationary
- Ultraproduct and compactness theorem
- A measurable cardinal is weakly compact

# Set theoretical real numbers

## Definition (Topology space)

A **topology space** is an ordered pair  $(X, \Gamma)$ , such that  $\Gamma \subset P(X)$  satisfies

- $\emptyset, X \in \Gamma$
- For each  $F \subset \Gamma$ ,  $\bigcup F \in \Gamma$
- If  $U, V \in \Gamma$ , then  $U \cap V \in \Gamma$

We say  $\Gamma$  is a **topology** on  $X$  and sets in  $\Gamma$  are **open sets**. The complements of open sets are **closed sets**.

## Set theoretical real numbers

Definition (Ordinary (order) topology on real numbers)

The ordinary topology on  $\mathbb{R}$  is **generated from** the collection of open intervals. I.e. A set  $U \subset \mathbb{R}$  is open if and only if for each  $r \in U$ , there is  $x, y \in \mathbb{R} \cup \{\infty, -\infty\}$  such that  $r \in (x, y) \subset U$ . We also say the set

$$\{(x, y) \mid x, y \in \mathbb{R} \cup \{\infty, -\infty\}\}$$

is a **basis** for the normal topology on  $\mathbb{R}$

# Set theoretical real numbers

Fact

There is a countable basis for the normal topology on  $\mathbb{R}$

EXE:

# Set theoretical real numbers

## Definition (Baire space)

- Fix set  $X \neq \emptyset$ . For  $s \in X^{<\omega}$ , define  $s^\prec = \{x \in X^\omega \mid s \subset x\}$
- We define the **ordinary topology** on  $X^\omega$  as generated from the basis

$$\{s^\prec \mid s \in X^{<\omega}\}$$

- **Baire space** is defined to be the ordinary topology on  $\omega^\omega$

# Set theoretical real numbers

## Fact

- A **basic open set**  $s^<$  is also a closed set.
- $U \subset \omega^\omega$  is **open** if and only if it is the (countable) union of a collection of basic open sets  $\bigcup_{n \in \omega} s_n^<$

# Set theoretical real numbers

Definition (Cantor space)

**Cantor space** is the ordinary topology space on  $2^\omega$



# Set theoretical real numbers

Descriptive set theorists who are interested in the **complexity of definable sets of reals** usually works on Baire space or Cantor space rather than the ordinary space on the real line. Actually, Baire space, Cantor space and real line are all **Polish spaces**, i.e. separable completely metrizable topology space.

Fact

Baire space is homeomorphic to the order space of all irrational numbers

EXE\*: [Hint: use continued fraction]

# Set theoretical real numbers

Descriptive set theorists who are interested in the **complexity of definable sets of reals** usually works on Baire space or Cantor space rather than the ordinary space on the real line. Actually, Baire space, Cantor space and real line are all **Polish spaces**, i.e. separable completely metrizable topology space.

## Fact

Baire space is homeomorphic to the order space of all irrational numbers

EXE\*: [Hint: use continued fraction]

# Set theoretical real numbers

## Definition

- A **tree**  $T$  on  $X$  is a subset of  $X^{<\omega}$  ordered by  $\subset$  and closed under initial segments.
- Let  $T$  be a tree on  $X$ . We define

$$[T] = \{x \in X^\omega \mid \forall n \ x \upharpoonright n \in T\}$$

## Set theoretical real numbers

$$\Leftarrow A \text{ is closed if } A = \overline{\bigcup_{n \in \mathbb{N}} S_n} = \bigcap_{n \in \mathbb{N}} \overline{S_n}$$

$$\text{Let } T = \{ t \in \omega^\omega \mid \forall n \ t \restriction n \in S_n \}$$

$$\text{Then } x \in \overline{T} \Leftrightarrow \forall m \ \exists t \restriction m \in T$$

Fact

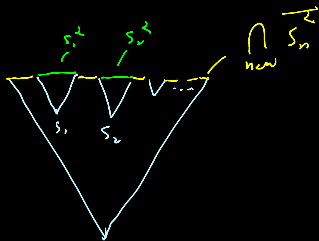
$$\Leftrightarrow \forall n \ \forall m \ \exists t \restriction m \in S_n$$

$$\Leftrightarrow \forall n \ \exists t \restriction n \in \overline{S_n}$$

$A \subset \omega^\omega$  is closed if and only there is a tree  $T$  on  $\omega$  such that

$$A = [T]$$

$$\Leftrightarrow \text{trivial}$$



# Borel sets

## Definition

- A  $\sigma$ -algebra on a set  $Y$  is a collection  $S \subset P(Y)$  which is closed under complement, countable union and countable intersection
- The collection of Borel set are defined to be the smallest  $\sigma$ -algebra containing all open sets of the Biare space

# Borel sets

## Definition (Borel hierarchy)

- $\Sigma_1^0$  = the collection of open sets
- $\Pi_1^0$  = the collection of closed sets
- $\Sigma_\alpha^0$  = the collection of all sets  $A = \bigcup_{n \in \omega} A_n$ , where each  $A_n$  is  $\Pi_\beta^0$  set for some  $\beta < \alpha$
- $\Pi_\alpha^0$  = the collection of all complements of  $\Sigma_\alpha^0$  sets

## Borel sets

Fact

$$\begin{array}{ccccccc}
 \Sigma_1^0 & - & \Sigma_2^0 & - & \Sigma_3^0 & \dots & \Sigma_\alpha^0 \\
 & \times & & \times & & \times & \\
 \Pi_1^0 & - & \Pi_2^0 & - & \Pi_3^0 & \dots & \Pi_\alpha^0
 \end{array}$$

- $\Pi_\alpha^0$  = the collection of all sets  $A = \bigcap_{n \in \omega} A_n$ , where each  $A_n$  is  $\Sigma_\beta^0$  set for some  $\beta < \alpha$
- If  $\alpha < \beta$ , then  $\Sigma_\alpha^0 \subset \Sigma_\beta^0$ ,  $\Sigma_\alpha^0 \subset \Pi_\beta^0$ ,  $\Pi_\alpha^0 \subset \Pi_\beta^0$ , and  $\Pi_\alpha^0 \subset \Sigma_\beta^0$
- $\bigcup_{\alpha < \omega_1} \Sigma_\alpha^0 = \bigcup_{\alpha < \omega_1} \Pi_\alpha^0$  is the collection of Borel sets
- EXE\*:  $\Sigma_\alpha^0 \not\subset \Pi_\alpha^0$  ( $1 \leq \alpha < \omega_1$ )

# Projective sets

## Definition

The ordinary **topology on  $(\omega^\omega)^k$**  is defined to be the product topology.

## Fact

$(\omega^\omega)^k$  is homeomorphic to  $\omega^\omega$



# Projective sets

$$\pi : \omega \times \omega \rightarrow \omega$$

## Definition

The ordinary **topology** on  $(\omega^\omega)^k$  is defined to be the product topology.

$$\omega^\omega \times \omega^\omega \rightarrow \omega^\omega$$

## Fact

$$(f, g) \mapsto h$$

$(\omega^\omega)^k$  is homeomorphic to  $\omega^\omega$   $h(n) = \pi(f(n), g(n))$

# Projective sets



## Definition

- $T$  is a **tree on  $X \times Y$** , if  $T$  is the subset of

$$\{(s, t) \mid s \in X^{<\omega}, t \in Y^{<\omega}, |s| = |t|\}$$

Let  $T$  be a tree on  $X \times Y$

- Define  $[T] = \{(x, y) \in X^\omega \times Y^\omega \mid \forall n (x \upharpoonright n, y \upharpoonright n) \in T\}$
- Define the **projection of  $T$** ,

$$p[T] = \{x \in X^\omega \mid \exists y (x, y) \in [T]\}$$

$$\exists y \forall n (x \upharpoonright n, y \upharpoonright n) \in T$$

# Projective sets

## Definition (Analytic set)

A set  $A \subset \omega^\omega$  is called **analytic** if there is a tree  $T$  on  $\omega \times \omega$  with  $A = p[T]$ . **coanalytic** sets are complements of analytic sets

# Projective sets

## Lemma

Every Borel set is an analytic set

Proof.

It is sufficient to show

- Every basic open set is analytic
- Every closed set is analytic
- Analytic sets are closed under countable union
- Analytic sets are closed under countable intersection

# Projective sets

Lemma  $\underline{S} = p[\bar{U}]$  where  
 $\bar{U} = \{(s', t') \mid s' \in S, |s'| = |t'|\}$

Every Borel set is an analytic set

Proof.

It is sufficient to show



■ Every basic open set is analytic

■ Every closed set is analytic  $\bar{U} = \{(s', t') \mid \forall n, s' \neq s_n, |s'| = |t'|\}$   
 $p[\bar{U}] = \bigcap_{new} \bar{S}_n$

■ Analytic sets are closed under countable union

■ Analytic sets are closed under countable intersection

Analytic sets are closed under  
countable union

$$A = \bigcup_{n \in \mathbb{N}} A_n, \text{ where } A_n = p[\bar{U}_n]$$

$$\bar{U}_n \text{ and } T, \text{ s.t. } A_n = p[\bar{U}_n]$$

$$\bar{U}_n(t, s) \in \bar{U}_n,$$

we put  $(t, \langle n \rangle \sim s_0)$  into  $T$

where  $s_0 = s \upharpoonright |s| - 1$ , i.e.

$$T = \{ (t, \langle n \rangle \sim s_0) \}$$

$$\exists s, (t, s) \in \bar{U}_n, \text{ and } s_0 = s \upharpoonright |s| - 1$$

If  $f \in p[\bar{U}_n]$  for some  $n$ , then  $\exists s$  s.t.  $\forall m (f \upharpoonright m, s \upharpoonright m) \in \bar{U}_n$

Let  $\underline{g^*} = \langle n \rangle \sim g$ , we have  $\forall m, (f \upharpoonright m, \underbrace{g^* \upharpoonright m}_v \langle n \rangle \sim g \upharpoonright m - 1) \in T$

Note:  $\bigcup_n \bar{U}_n$  does not work



Analytic sets are closed under  
countable intersection

$$\text{Let } A = \bigcap_{n \in \mathbb{N}} A_n \text{ s.t. } A_n = P[\bar{T}_n]$$

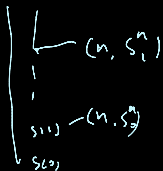
Let  $\pi: \omega \times \omega \rightarrow \omega$  be the canonical coding

Fix  $s \in \omega^k$ .

$$\text{Define } s^n = \{s_0^n, s_1^n, \dots, s_i^n\} \text{ s.t.}$$

$$\pi(i, s_i^n) \in \text{ran } s$$

$$T = \left\{ (t, s) \mid \forall n (t \upharpoonright s^n \upharpoonright, s^n) \in T_n \right\}$$



# Projective sets

## Theorem (Souslin)

A set  $A \subset \omega^\omega$  is Borel if and only if it is analytic and coanalytic



# Projective sets

## Theorem

There is an analytic set which is not coanalytic

## Lemma

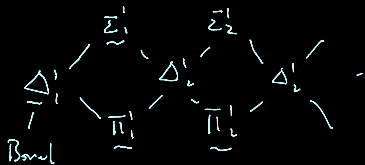
- There is a **universal analytic set**, i.e. a analytic set  $B \subset \omega^\omega \times \omega^\omega$  such that for any analytic set  $A \subset \omega^\omega$  there is some  $g \in \omega^\omega$  with  $A = \{f \mid (f, g) \in B\}$ .
- A universal analytic set is not coanalytic

# Projective sets

## Definition (The hierarchy of projective sets)

- $\Sigma_1^1$  = the collection of all analytic sets
- $\Pi_n^1$  = the complements of  $\Sigma_n^1$  sets
- $\Sigma_{n+1}^1$  = the collection of projections of  $\Pi_n^1$  sets
- $\Delta_n^1 = \Sigma_n^1 \cap \Pi_n^1$

# Projective sets



Fact

- $\Delta_n^1 \subset \Sigma_n^1 \subset \Delta_{n+1}^1 \subset \Sigma_{n+1}^1$
- $\Delta_n^1 \subset \Pi_n^1 \subset \Delta_{n+1}^1 \subset \Pi_{n+1}^1$

$$\Sigma_n^1 \subset \Sigma_{n+1}^1 \Rightarrow \Sigma_n^1 \in \Delta_{n+1}^1$$

$$\Sigma_n^1 \in \Pi_{n+1}^1$$

$$\Sigma_{n+1}^1 \subset \Sigma_n^1$$

$$\Pi_n^1 \subset \Pi_{n+1}^1$$

Definition

A set  $A \subset \omega^\omega$  is projective if  $A \in \Sigma_n^1$  for some  $n \in \omega$

# Projective sets

## Fact

- $\Delta_n^1 \subset \Sigma_n^1 \subset \Delta_{n+1}^1 \subset \Sigma_{n+1}^1$
- $\Delta_n^1 \subset \Pi_n^1 \subset \Delta_{n+1}^1 \subset \Pi_{n+1}^1$

## Definition

A set  $A \subset \omega^\omega$  is projective if  $A \in \underbrace{\Sigma_n^1}_n$  for some  $n \in \omega$

# Next on Set Theory

Regularity properties