

Set Theory

# 集合论

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# Previously on Set Theory

## Large cardinal

- Inaccessible cardinal
- Mahlo cardinal

$\kappa$  is Mahlo, if  $\kappa$  is inaccessible

$\{\lambda < \kappa \mid \lambda \text{ is regular}\}$  is stat. in  $\kappa$

# Previously on Set Theory

## Tree

- Tree, level, height
- chain, maximal chain, cofinal chain, antichain
- $\kappa$ -tree,  $\kappa$ -Aronszajn tree,  $\kappa$ -Souslin tree

# Previously on Set Theory

## Weakly compact cardinal

- There is no  $\omega$ -Aronszajn tree
- There is a  $\omega_1$ -Aronszajn tree
- Weakly compact cardinal:  $\kappa$  is inaccessible and there is no  $\kappa$ -Aronszajn tree

# Weakly compact cardinal

## Definition

A cardinal  $\kappa$  is **weakly compact** if it is inaccessible and every  $\kappa$ -tree has a cofinal chain

# Weakly compact cardinal

## Lemma

Let  $A \subseteq \omega_1$  be a set of uncountable cardinals such that for all regular cardinal  $\lambda$ ,  $A \cap \lambda$  is not stationary in  $\lambda$ . Then there is a regressive injection  $t: A \rightarrow \text{OR}$

EXE:

# Weakly compact cardinal

## Lemma

If  $\kappa$  is weakly compact and  $S$  is a stationary subset of  $\kappa$ , then there is a regular  $\lambda < \kappa$  such that  $S \cap \lambda$  is stationary in  $\lambda$

If  $\kappa$  is uncountable  
 proof: then  $\{ \mu \mid \mu \text{ is cardinal} \}$  is club  
 Assume to contradiction that  $S$  is  
 a stationary subset in  $\kappa$  (containing  
only uncountable cardinals) such  
 that for each regular  $\lambda < \kappa$   $S \cap \lambda$   
 is non-stationary, we build a  $\kappa$ -  
 Aronszajn tree.

Let  $T \subseteq \kappa^{<\kappa}$  be s.t. For  $t \in \kappa^\alpha$

$t \in T$  iff  $t \upharpoonright (S \cap \alpha)$  is regressive  
 and 1-1. And for  $\beta < \alpha < S$   
 $t \upharpoonright \beta = \emptyset$

①  $T$  is tree

② For  $\alpha < \kappa$ ,  $|L_\alpha^T| < \kappa$

Since if  $t \in L_\alpha^T \subseteq \kappa^\alpha$ , then  $t \in \alpha^\alpha$ .

So  $|L_\alpha^T| \leq |\alpha^\alpha| < \kappa$

③  $T$  has no cofinal chain

[For otherwise, let  $c$  is a cofinal chain

consider  $f = \bigcup c$

$f \upharpoonright S : S \rightarrow \kappa$  is regressive and 1-1

Hence club filter is normal]

④  $\text{height}(T) = \kappa$

By former Lemma



# Weakly compact cardinal

## Corollary

If  $\kappa$  is a weakly compact cardinal, then  $\kappa$  is Mahlo. Moreover, there is a stationary set of Mahlo cardinals below  $\kappa$

①  $\{\lambda < \kappa \mid \lambda \text{ is inaccessible}\}$  and

②  $\{\lambda < \kappa \mid \lambda \text{ is Mahlo}\}$  are stationary

$\cup S_2$

Proof

① Consider  $\{\lambda < \kappa \mid \lambda \text{ is regular}\} = S_0$

We show  $S_0$  is stationary

Fix a club  $C$ . since  $C$  is stat.

so there is <sup>regular</sup>  $\lambda < \kappa$ , s.t.  $C \cap \lambda$   
is stat in  $\lambda$

therefore  $\lambda \in C$ , and  $\lambda \in S_0$

i.e.  $C \cap S_0 \neq \emptyset$  so.

$\kappa$  is Mahlo

② Since  $\kappa$  is Mahlo,

$S_1 = \{\lambda < \kappa \mid \lambda \text{ is inaccessible}\}$  is stat

Fix  $C$ , the  $C \cap S_1$  is stationary

so, there is regular  $\lambda < \kappa$ , s.t.

$C \cap \lambda$  is stat. in  $\lambda$

therefore  $\lambda$  is Mahlo

and  $\lambda \in C$ ,

i.e.  $\lambda \in C \cap S_2$

# Compactness theorem ultraproduct

## Definition

Infinitary logic Let  $\lambda, \mu$  be infinite cardinals. An  $\mathcal{L}_{\lambda\mu}$  language are defined allowing infinite conjunctions  $\bigwedge_{\xi < \alpha}$  ( $\alpha < \lambda$ ) and infinite quantifier sequence  $\forall_{\xi < \beta}$  ( $\beta < \mu$ ). A formula in a  $\mathcal{L}_{\lambda\mu}$  language can have less than  $\mu$  free variables.

Satisfaction relation are defined intuitively

# Compactness theorem ultraproduct

## Fact

$\kappa$  is weakly compact if and only if the infinitary logic  $\mathcal{L}_{\kappa\kappa}^{\kappa, \omega}$  satisfies the **weak compactness theorem**, i.e. whenever  $\Sigma$  is a set of sentence in  $\mathcal{L}_{\kappa\kappa}$  of cardinality at most  $\kappa$  and every subset with cardinality less than  $\kappa$  is satisfiable, then  $\Sigma$  is satisfiable

## Definition

$\kappa$  is strongly compact if  $\mathcal{L}_{\kappa\kappa}$  satisfies the compactness theorem, which is without the restriction on the cardinality of  $\Sigma$ .

# Compactness theorem ultraproduct

## Fact

$\kappa$  is weakly compact if and only if the infinitary logic  $\mathcal{L}_{\kappa\kappa}$  satisfies the **weak compactness theorem**, i.e. whenever  $\Sigma$  is a set of sentence in  $\mathcal{L}_{\kappa}$  of cardinality at most  $\kappa$  and every subset with cardinality less than  $\kappa$  is satisfiable, then  $\Sigma$  is satisfiable

## Definition

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# Compactness theorem ultraproduct

Theorem

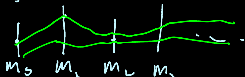
$\mathcal{L}_{\omega\omega}$  have compactness theorem

# Compactness theorem ultraproduct

## Definition (Ultraproduct)

Let  $I$  be a index set,  $\mathfrak{M} = \{M_i \mid i \in I\}$  is a  $I$ -sequence structures in a given language, and  $U$  is an non-principle ultrafilter on  $I$ .

We define the **ultraproduct**  $\prod_{i \in I} M_i / U$  to be the following structure:



- The **universe** is  $\{[f]_U \mid f \in (\bigcup_{i \in I} |M_i|)^I \text{ and } f(i) \in |M_i|\}$ , where  $f \sim_U g$  if the set  $\{i \in I \mid f(i) = g(i)\} \in U$

$$i \in I \quad \boxed{\bar{F}_i = \{B \mid i \in B\}}$$

$$A \subseteq I \quad \bigcup_{i \in A} \bar{F}_i = \{B \mid B \supseteq A\}$$

$$(A \in U \implies I \in U)$$

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We define the **ultraproduct**  $\prod_{i \in I} M_i / U$  to be the following structure:

- For relation symbol, e.g.  $R$ :  $[f]_U R^{\prod_{i \in I} M_i / U} [g]_U$  if the set  $\{i \in I \mid f(i) R^{M_i} g(i)\} \in U$



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We define the **ultraproduct**  $\prod_{i \in I} M_i / U$  to be the following structure:

- For function symbol, say  $\pi$ : Define  $\pi^{\prod_{i \in I} M_i / U}([f]_U) = [g]_U$  where  $g(i) = \pi^{M_i}(f(i))$

# Compactness theorem ultraproduct

## Theorem (Łoś)

Let  $M = \prod_{i \in I} M_i / U$  be an ultraproduct and  $\varphi(x_1, \dots, x_n)$  is a formula. Then

$$M \models \varphi([f_1], \dots, [f_n]) \Leftrightarrow \{i \in I \mid M_i \models \varphi(f_1(i), \dots, f_n(i))\} \in U$$

Proof  $\subseteq$  and  $\supseteq$  on  $\varphi$

if  $M \models \varphi$  then  $\{i \in I \mid M_i \models \varphi\} \in U$

if  $\{i \in I \mid M_i \models \varphi\} \in U$  then  $M \models \varphi$

if  $M \not\models \varphi$  then  $\{i \in I \mid M_i \not\models \varphi\} \in U$

if  $\{i \in I \mid M_i \not\models \varphi\} \in U$  then  $M \not\models \varphi$

Compactness theorem: If  $\Sigma$  is finitely satisfiable, then  $\Sigma$  is satisfiable

Let  $I = \{ \Delta \mid \Delta \subseteq \Sigma \text{ is finite} \}$

We know for each  $\Delta \in I$ , there is a model  $M_\Delta \models \Delta$

Let  $\mathcal{U}$  be a non-principal ultrafilter on  $I$

[Note,  $|I| = |\omega^{\omega}| = \mathfrak{c}$ ]

Let  $\bar{F}$  be the Feferman filter on  $I$  and  $\mathcal{U}$  be a ultrafilter extending  $\bar{F}$

Let  $M = \prod_{\Delta \in I} M_\Delta / \mathcal{U}$

Claim  $M \models \Sigma$

Let  $I = \{ \Delta_i \mid i \in \omega \}$

s.t.  $\Delta_i \subseteq \Sigma$

# Compactness theorem ultraproduct

## Definition (Measurable cardinal)

$\kappa$  is a measurable cardinal if  $\kappa$  is uncountable and there is a  $< \kappa$ -complete non-principle ultrafilter on  $\kappa$

## Fact

If  $\kappa$  is a measurable cardinal, then  $\kappa$  is also a weakly compact cardinal

The proof is an easy generalization of the proof of compactness theorem

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# Next on Set Theory

Descriptive set theory

## Exercise

1. Let  $A$  be a set of uncountable cardinals such that for all regular cardinal  $\lambda$ ,  $A \cap \lambda$  is not stationary in  $\lambda$ . Show that there exists a regressive injection  $f: A \rightarrow \text{OR}$  [Hint: If  $\lambda$  is regular and  $A \cap \lambda$  is unbounded in  $\lambda$ , then  $A'$  is a club in  $\lambda$  and disjoint from  $A \cap \lambda$ ]