

Set Theory

集合论

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# Previously on Set Theory

- Stationary sets
- Is club filter a ultrafilter (Is there a pair of disjoint stationary sets)?
- Solovary theorem

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# Some logic

Symbols:

- Variable:  $v_1, v_2, v_3, \dots, x, y, z, \dots, X, Y, Z, \dots$
- Connective:  $\neg, \vee, \wedge, \rightarrow, \leftrightarrow$
- Quantifier:  $\forall, \exists$
- Predicate:  $=, \in$
- Parentheses:  $(, )$

# Some logic

- Expressions: finite sequence of symbols
- Well-formed formula:
  - $x = y, x \in y$  *recursion definition on  $\omega$*
  - $\neg\alpha, \alpha \vee \beta, \alpha \wedge \beta, \alpha \rightarrow \beta$ , etc. *True*
  - $\exists x\alpha, \forall x\alpha$   *$\forall x \exists y xcy$*
- Occur free: E.g. If  $y$  occurs freely in  $\alpha$  and  $y \neq x$ , then  $y$  occurs freely in  $\forall x\alpha$
- Sentence: Formula with no free variable occurrence

# Some logic

$\varphi(x_1, \dots, x_n)$  is a formula with at most  $x_1, \dots, x_n$  occurs freely in it.

Let  $E$  be a binary relation on  $M$ . We define the relativization of  $\varphi$  into  $(M, E)$   $\varphi^{(M, E)}(x_1, \dots, x_n)$  to be:

- $(x_i = x_j)^{(M, E)} =_{\text{df}} x_i = x_j$

- $(x_i \in x_j)^{(M, E)} =_{\text{df}} x_i E x_j$

$$M = \{x \mid \varphi_m(x)\}$$

- $(\neg \psi)^{(M, E)} =_{\text{df}} \neg \psi^{(M, E)}$

- $(\psi_1 \square \psi_2)^{(M, E)} =_{\text{df}} \psi_1^{(M, E)} \square \psi_2^{(M, E)}$      $\square = \wedge, \vee, \rightarrow, \leftrightarrow$

- $(\exists y \psi(y, x_1, \dots, x_n))^{(M, E)} =_{\text{df}} \exists y (y \in \underline{M} \wedge \psi^{(M, E)}(y, x_1, \dots, x_n))$

## Some logic

Let  $E$  be a binary relation on  $M$  and  $a_1, \dots, a_n \in M$ . We can define recursively so that

$$(m, E) \models \phi \iff \phi^{(m, E)}$$

$$(M, E) \models \vec{\varphi}(a_1, \dots, a_n) \iff \varphi^{(M, E)}(a_1, \dots, a_n)$$

$E, E$

$$\Theta (m, E, \vec{\varphi}(a_1, \dots, a_n))$$

## Some logic

Theorem (Godel's completeness theorem)

Let  $\Sigma$  be a set of formula. Then

$$\forall x \varphi(x) \\ \text{Con}(\Sigma) \leftrightarrow \exists(M, E) \forall \sigma \in \Sigma (M, E) \models \sigma$$

EXE:

# Inaccessible cardinal

$$ZFC \vdash \exists \kappa \kappa = V_\kappa$$

$$x, y \in V_\kappa$$

$$\{x, y\} \in V_{\kappa+1} \in V_\kappa$$

$$x \in V_\kappa \quad x \in V_\kappa$$

$$\cup x \in V_{\kappa+1}$$

$$x \in V_\kappa$$

$$\mathcal{P}(x) \in V_{\kappa+1}$$

Fact (ZFC)

Con(ZFC – Axiom of Infinity)



# Inaccessible cardinal

The set-theoretical operations are closed under  $V_\omega$ . In particular,  $\omega$  is **inaccessible** from below

# Inaccessible cardinal

## Definition (Inaccessible cardinal)

- We say  $\kappa$  is a **weakly inaccessible cardinal** if  $\kappa$  is an uncountable regular limit cardinal
- We say  $\kappa$  is a **strong limit cardinal** if  $2^\lambda < \kappa$  for all  $\lambda < \kappa$
- We say  $\kappa$  is a **(strongly) inaccessible cardinal** if  $\kappa$  is an uncountable regular strong limit cardinal

# Inaccessible cardinal

Proof  $\alpha \mapsto \aleph_\alpha$  is increasing, (dep  $\beta \rightarrow \aleph_\beta < \aleph_\alpha$ )  
 thus  $\aleph_\alpha \geq \alpha$

$\aleph_\alpha = \sup \{ \aleph_\beta \mid \beta < \alpha \}$ , so it is sufficient to show  $\aleph_\beta < \alpha$  ( $\beta < \alpha$ )

$\aleph_\beta < \alpha \Rightarrow \aleph_{\beta+\omega} < \alpha$

**Fact**

$\aleph_\beta < \alpha$  for  $\beta < \alpha$ ,  $\beta < \alpha$  is limit, then  $\aleph_\alpha = \sup_{\beta < \alpha} \aleph_\beta < \alpha$

- Every strong limit cardinal is limit cardinal, and so every weakly inaccessible cardinal is strongly inaccessible
- If  $\alpha$  is weakly/strong inaccessible, then  $\alpha = \aleph_\alpha$ . And  $\alpha$  is not the first such cardinal.

$$\begin{aligned} \alpha_0 &= \aleph_{\alpha_0} \\ \alpha_1 &= \aleph_{\alpha_1} \\ \alpha_2 &= \aleph_{\alpha_2} \\ &\vdots \end{aligned}$$

$$\begin{aligned} \alpha_0 &= \sup_{\text{new}} \alpha_n \\ &= \sup_{\text{new}} \aleph_{\alpha_n} \\ &= \aleph_{\alpha_0} \end{aligned}$$

# Inaccessible cardinal

Fact

If  $\kappa$  is inaccessible, then  $V_\kappa \models \text{ZFC}$

- $\text{ZFC} + \text{there exists an inaccessible cardinal} \vdash \text{Con}(\text{ZFC})$
- $\text{ZFC} + \text{there exists a weakly inaccessible cardinal} +$   
GCH holds below the first weakly inaccessible cardinal  $\vdash$   
 $\text{Con}(\text{ZFC})$

# Inaccessible cardinal

Theorem (Gödel's second incompleteness theorem)

If  $T$  is a recursive theory extends  $ZF - Inf - Rep - Pow$ , then  $T \not\vdash Con(T)$  unless  $T$  is inconsistent

Corollary

$ZFC \not\vdash$  there exists an (weakly) inaccessible cardinal

# Inaccessible cardinal

Theorem (Gödel's second incompleteness theorem)

If  $T$  is a recursive theory extends  $ZF - Inf - Rep - Pow$ , then  
 $T \not\vdash Con(T)$  unless  $T$  is inconsistent

Corollary (Con(ZFC))

ZFC  $\not\vdash$  there exists an (weakly) inaccessible cardinal

# Mahlo cardinal

## Definition (Mahlo cardinal)

A cardinal  $\kappa$  is called **Mahlo** if  $\kappa$  is inaccessible and the set of all regular cardinal below  $\kappa$  is a stationary set in  $\kappa$

$\{ \lambda < \kappa \mid \lambda \text{ is regular} \}$  is stat.

# Mahlo cardinal

Fact If  $\kappa$  is inaccessible, the  $C = \{\lambda < \kappa \mid \lambda \text{ is strong limit}\}$  is a club

- If  $\kappa$  is Mahlo, then the set of inaccessible cardinals below  $\kappa$  is stationary, i.e.  $\kappa$  is the  $\kappa$ th inaccessible cardinal

- ZFC + there exists a Mahlo cardinal  $\vdash$

Con(ZFC + there exists an inaccessible cardinal)

- ZFC + there exists an inaccessible cardinal  $\nVdash$   
*there are proper clubs many inaccessible cardinals*

there exists a Mahlo cardinal



# Tree and weakly compact cardinal



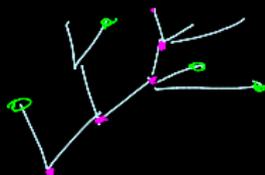
## Definition

- A partially ordered set  $(T, <_T)$  is a **tree** if for each  $s \in T$  the set  $\{t \in T \mid t <_T s\}$  is well-ordered by  $<_T$
- For each  $s \in T$ , we define **the level of  $s$  in  $T$**  to be  $\mathcal{L}_T(s) = \{t \in T \mid t <_T s\}$
- Define **the height of  $T$**  as  $\text{height } T = \sup \{ \mathcal{L}_T(s) + 1 \mid s \in T \}$

# Tree and weakly compact cardinal

$$\mathcal{L}_T^k = \{s \in T \mid \mathcal{L}_T(s) = \alpha\}$$

Definition



- A set  $C \subset T$  is a **chain** in  $T$  if for all  $s, t \in C$ ,  $s <_T t$  or  $s = t$  or  $t <_T s$
- A chain  $C$  is **maximal** if there is no chain  $C' \supsetneq C$
- A chain  $C$  in  $T$  is **cofinal** if for all  $\alpha < \text{height } T$  there is some  $s \in C$  with  $\mathcal{L}_T(s) \geq \alpha$
- $A \subset T$  is an **antichain** if for any  $s, t \in A$  neither  $s <_T t$  nor  $s = t$  nor  $t <_T s$

# Tree and weakly compact cardinal

## Definition

Let  $\kappa$  be a regular cardinal

- We say  $(T, <_T)$  is a  $\kappa$ -tree if  $T = \kappa$  and for each  $\alpha < \kappa$ ,  
 $\mathcal{L}_T^\alpha = \{s \in T \mid \mathcal{L}_T(s) = \alpha\}$  has cardinality  $< \kappa$
- A  $\kappa$ -tree is a  $\kappa$ -Aronszajn tree if there is no cofinal chain  
in  $T$   

- A  $\kappa$ -tree is a  $\kappa$ -Souslin tree if it has no antichain of size  $\kappa$

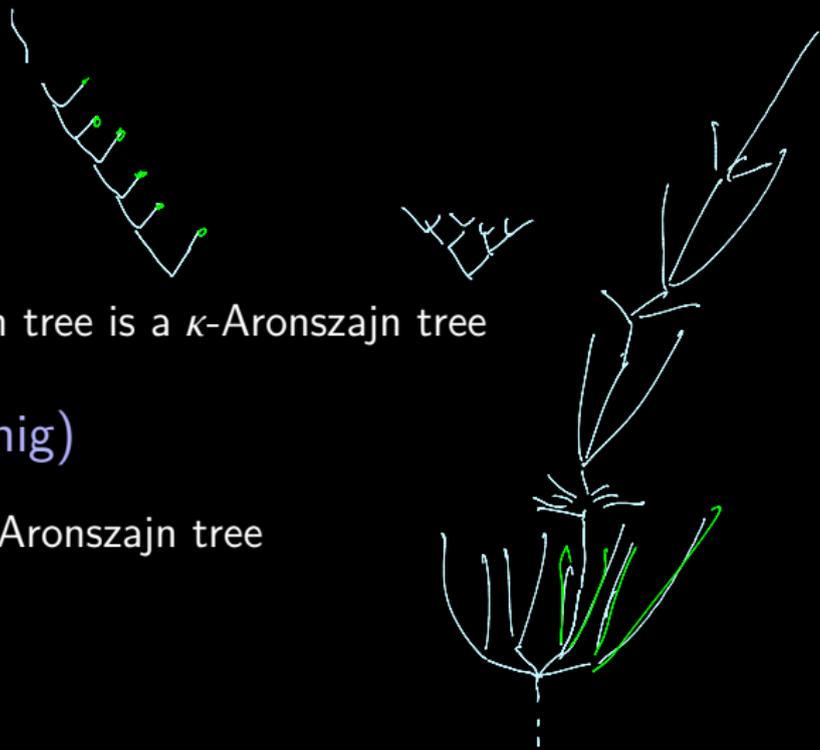
# Tree and weakly compact cardinal

Fact

Every  $\kappa$ -Souslin tree is a  $\kappa$ -Aronszajn tree

Lemma (König)

There is no  $\omega$ -Aronszajn tree



# Tree and weakly compact cardinal

Theorem (Aronszajn)

There is an Aronszajn tree ( $\omega_1$ -Aronszajn tree)

Thm. There is an  $\omega_1$ -Aronszajn tree

(consider  $(\omega^{\omega_1}, \subseteq)$ ,

where  $\delta \subseteq \delta'$  iff  $\delta \in \delta'$ )

Let  $T_0$  be the subtree of  $\omega^{\omega_1}$  s.t.

$T_0 = \{ \delta \in \omega^{\omega_1} \mid \delta \text{ is } \perp\text{-} \}$

Then  $T_0$  has no cofinal chain

For otherwise  $UC: \omega \rightarrow T_0$

But  $T_0$  is not a  $\kappa$ -tree

[It is infinite  $\{ \delta \mid \delta \text{ is } \perp\text{-} \}$  is uncountable]

For each  $\alpha < \omega_1$ , define  $S_\alpha \in T_0$

a)  $\omega / \text{ran } S_\alpha$  is infinite

b) For  $\beta < \alpha$ ,  $S_\beta \cap S_\alpha = S_\beta$



where if  $\text{dom } t = \text{dom } s$

we say  $t \leq s$  iff  $\{ \beta \mid t(\beta) \neq s(\beta) \}$

is finite

Assume  $\{ S_\alpha : \alpha < \omega_1 \}$  is chosen,

Define  $T_1 = \{ t \in T_0 \mid t \leq^* S_{\text{dom}(t)} \}$

Then  $T_1$  is a tree,

a  $\omega_1$ -Aronszajn tree

To choose  $\{ S_\alpha : \alpha < \omega_1 \}$

Let  $S_n = \text{id} \upharpoonright n$

For  $\delta \neq 1$ , let  $S_{\delta+1} = S_\delta \cup \{ (\delta, n) \}$  for  $n \in \text{ran } S_\delta$

For  $\delta$  is limit

For  $\langle \beta_n : n \in \omega \rangle$  is cofinal in  $\delta$

For  $n \in \omega$ , define  $t_n = S_{\beta_n}$

$t_{n+1} = t_n \cup S_{\beta_{n+1}} \upharpoonright (\beta_{n+1} - \beta_n)$

Let  $t = \bigcup_n t_n$

$t \leq^* S_\delta$  iff  $\{ \beta \mid t(\beta) \neq S_\delta(\beta) \}$  is finite

$t_n = S_{\beta_n}$   
 $t_{n+1} = S_{\beta_{n+1}} \cup S_{\beta_n}$

# Tree and weakly compact cardinal

## Definition

- A cardinal  $\kappa$  has **tree property** if there is no  $\kappa$ -Aronszajn tree
- A cardinal  $\kappa$  is **weakly compact** if it is inaccessible and has tree property

## Next on Set Theory

- More on weakly compact cardinal
- Measurable cardinal